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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | UCAL-240CIP | |
|--|--|---|--|----------------|
| | | First Inventor | CASES, SYLVAIN | |
| | | Title | Mono-and Diacylglycerol Acyltransferases and Method of Use Thereof | |
| | | Express Mail Label No. | EV036410356US | |
| APPLICATION ELEMENTS | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | | |
| SEE MPEP chapter 600 concerning utility patent application contents. | | | | |
| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages: 55] (Preferred arrangement set forth below) | | a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) | | |
| -Descriptive title of the invention | | b. Specification Sequence Listing on: | | |
| -Cross Reference to Related Applications | | i. <input checked="" type="checkbox"/> CD-ROM or CD-R (2 copies); or | | |
| -Statement Regarding Fed sponsored R & D | | ii. <input checked="" type="checkbox"/> paper | | |
| -Reference to sequence listing, a table, or a computer program listing appendix | | c. <input checked="" type="checkbox"/> Statements verifying identity of above copies | | |
| -Background of the Invention | | | | |
| -Brief Summary of the Invention | | | | |
| -Brief Description of the Drawings (if filed) | | | | |
| -Detailed Description | | | | |
| -Claim(s) | | | | |
| -Abstract of the Disclosure | | | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: 19] | | | | |
| 5. Oath or Declaration [Total Pages: _____] | | | | |
| a. <input type="checkbox"/> Newly executed (original or copy) | | | | |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) | | | | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) | | | | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | | | |
| ACCOMPANYING APPLICATION PARTS | | | | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s)) | | | | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) | | | | |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | | | | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | | | | |
| 13. <input type="checkbox"/> Preliminary Amendment | | | | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | | |
| 17. <input type="checkbox"/> Other: | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/794,715 | | | | |
| Prior application information: Examiner: 1645 Group Art Unit: _____ | | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label 24353 or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here) | | | | |
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| Signature | | Registration No. (Attorney/Agent) | Fax | (650) 327-3231 |
| Date | | January 14, 2002 | | |

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**CERTIFICATION REGARDING SEQUENCE
LISTING**

| | |
|----------------------|------------------|
| Application Number | Unassigned |
| Confirmation Number | n/a |
| Filing Date | January 14, 2002 |
| First Named Inventor | Cases et al. |
| Examiner | Unassigned |
| Group Art | Unassigned |
| Attorney Docket No. | UCAL-240CIP |

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Sir:

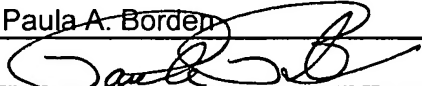
I hereby certify that the enclosed Sequence Listing is being submitted under 37 CFR 1.824 on two identical computer-readable discs(CD's), labeled copy 1 and copy 2. The CD's submitted under 37 CFR 1.52(e) were formatted on IBM-PC, the operating system compatability is MS-Windows and the file listing is:

Sequence Listing.txt 35 KB created 01/14/02.

I hereby certify that the enclosed submission includes no new matter.

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

| | | | | | |
|-------------------------|---|------------------|---------------------------------|-----|-------|
| Name (Print/Type) | Paula A. Borden | Registration No. | 42,344 | | |
| Signature |  | Date | 01-14-2002 | | |
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